

Docket No. 0039-7692-2S



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akira GODA, et al.

SERIAL NO: 09/556,777

GAU: 2822

FILED: April 25, 2000

EXAMINER: Ida M. SOWARD

FOR: NONVOLATILE SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR MANUFACTURING THE SAME

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

2822
#12 IDS
7-9-02
Rstoken

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.
- ☒ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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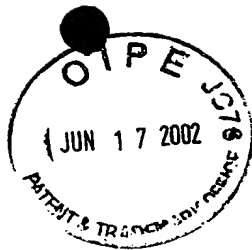
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LIST OF RELATED CASES

| <u>Docket Number</u> | <u>Serial or Patent No.</u> | <u>Filing or Issue Date</u> | <u>Status or Patentee</u> |
|----------------------|---------------------------------|---------------------------------|-------------------------------|
| PER CLIENT | 09/470,518 | 12/22/99 | UNKNOWN |
| 0039-7692-2S* | 09/556,777 | 04/25/00 | PENDING |
| 199815US2 | 09/714,228 | 11/17/00 | PENDING |
| 220879US2S | 10/098,130 | 03/15/02 | PENDING |

*Present application; listed for information

GJM/ae

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FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/470,518 | 12/22/99 | 2811 | \$1,264.00 | 005702-20058 | 40 | 35 | 6 |

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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| | | | |
|-----------------------|-------|-----------|----------|
| FOREIGN APPLICATIONS- | JAPAN | 10-370757 | 12/25/98 |
| | JAPAN | 11-303726 | 10/26/99 |

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/04/00
TITLE

NONVOLATILE SEMICONDUCTOR MEMORY DEVICE AND METHOD FO MANUFACTURING-
THE SAME

PRELIMINARY CLASS: 257

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DATA ENTRY BY: MIDDLETON, MATTIE TEAM: 04 DATE: 02/04/00

(See reverse for new important information)